

PUBLICATION OF "PROACTIVE COMPLIANCE SITE VISITS 2000 – 2002: A  
COMPENDIUM OF FINDINGS AND OBSERVATIONS"

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National Institutes of Health (NIH)

The National Institutes of Health (NIH) is pleased to announce the publication of "Proactive Compliance Site Visits 2000 - 2002: A Compendium of Findings and Observations" ([http://grants.nih.gov/grants/compliance/compendium\\_2002.htm](http://grants.nih.gov/grants/compliance/compendium_2002.htm)).

This newly updated document is available on the Grants Compliance and Oversight website (<http://grants.nih.gov/grants/compliance/compliance.htm>), which is linked on the Office of Extramural Research (OER) homepage (<http://grants.nih.gov/grants/oer.htm>) under Grant Topics.

During FY 2000, the NIH launched the Proactive Compliance Site Visit (PCSV) initiative to assess institutional understanding of Federal policies and regulations, to minimize or eliminate noncompliance, and to nurture a productive partnership between the NIH and its grantee institutions. During the last three years, the Division of Grants Compliance and Oversight, Office of Policy for Extramural Research Administration, OER, NIH, completed twenty-six PCSVs and recently issued a newly updated compendium that summarizes the observations and comments from the NIH site visit team as well as institutional observations.

The compendium is organized into two sections: Introduction, and Subject Area Descriptions and Observations. The first section provides: (1) background information; (2) a listing of participating institutions; (3) a description of the site visit process and format; (4) feedback from the participating institutions; and (5) general observations. The second section provides specific information tailored to five different topic areas. It is in the second section that a key feature, Examples of Compliance in Action, may be found, which describes practices observed during the site visits and examples of tools used at some institutions.

NIH is making this document available to the broader biomedical research community as an educational tool to promote awareness of and compliance with NIH policies. As NIH conducts further PCSVs, additional information will be made available on the Grants Compliance and Oversight website.

## INQUIRIES

Questions about the Compendium or the NIH PCSV initiative may be directed to the Division of Grants Compliance and Oversight staff at (301) 435-0949.

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